

**JEFFERSON COUNTY, ALABAMA
2015 ONE-YEAR ACTION PLAN**

October 1, 2015 – September 30, 2016
Amendment #1

Submitted 4/29/16

JEFFERSON COUNTY COMMISSION



**James A. "Jimmie" Stephens, President
Sandra Little-Brown, President Pro Tempore
George F. Bowman
W.D. Carrington
T. Joe Knight**

JEFFERSON COUNTY OFFICE OF COMMUNITY & ECONOMIC DEVELOPMENT
Frederick L. Hamilton, Director

Distributed February 18, 2016 for public review and comment until 5:00 pm, March 21,
2016.

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

* 2. Type of Application:

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

B-15-UC-01-0001

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Jefferson County, Alabama

* b. Employer/Taxpayer Identification Number (EIN/TIN):

63-6001579

* c. Organizational DUNS:

1054742790000

d. Address:

* Street1:

716 Richard Arrington Jr Blvd N, Ste. A-430

Street2:

* City:

Birmingham

County/Parish:

Jefferson

* State:

AL: Alabama

Province:

* Country:

USA: UNITED STATES

* Zip / Postal Code:

35203-0100

e. Organizational Unit:

Department Name:

Community & Economic Dev.

Division Name:

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

Dr.

* First Name:

Frederick

Middle Name:

L.

* Last Name:

Hamilton

Suffix:

Ph.D

Title:

Director

Organizational Affiliation:

Jefferson County Commission

* Telephone Number:

205-325-5761

Fax Number:

205-325-5095

* Email:

hamiltonf@jccal.org

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Dept. of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.218

CFDA Title:

Community Development Block Grants/Entitlement Grants

*** 12. Funding Opportunity Number:**

* Title:

Community Development Block Grant

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Eligible activities through out the Jefferson County Consortium and unincorporated Jefferson County to be funded from the Community Development Block Grant.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant AL-006

* b. Program/Project AL-007

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date: 10/01/2015

* b. End Date: 09/30/2016

18. Estimated Funding (\$):

* a. Federal	1,724,446.00
* b. Applicant	
* c. State	
* d. Local	
* e. Other	
* f. Program Income	0.00
* g. TOTAL	1,724,446.00

* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

- Yes
- No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: Mr. * First Name: James
Middle Name: A.
* Last Name: Stephens
Suffix:

* Title: President, Jefferson County Commission

* Telephone Number: 205-325-5555 Fax Number: 205-325-4860

* Email: stephensj@jccal.org

* Signature of Authorized Representative: 

* Date Signed:

Application for Federal Assistance SF-424

* 1. Type of Submission:

- Preapplication
- Application
- Changed/Corrected Application

* 2. Type of Application:

- New
- Continuation
- Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

HUD

5b. Federal Award Identifier:

E-15-UC-01-0006

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name:

Jefferson County, Alabama

* b. Employer/Taxpayer Identification Number (EIN/TIN):

63-6001579

* c. Organizational DUNS:

1054742790000

d. Address:

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USA: UNITED STATES

* Zip / Postal Code:

35203-0100

e. Organizational Unit:

Department Name:

Community & Economic Dev.

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Dr.

* First Name:

Frederick

Middle Name:

L.

* Last Name:

Hamilton

Suffix:

Ph.D

Title:

Director

Organizational Affiliation:

Jefferson County Commission

* Telephone Number:

205-325-5761

Fax Number:

205-325-5095

* Email:

hamiltonf@jccal.org

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Dept. of Housing & Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.231

CFDA Title:

Emergency Solutions Grant

*** 12. Funding Opportunity Number:**

* Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

The ESG program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families;

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="155,686.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="155,686.00"/>

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- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
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* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

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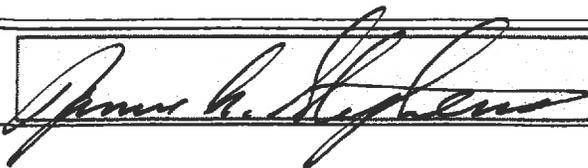
Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

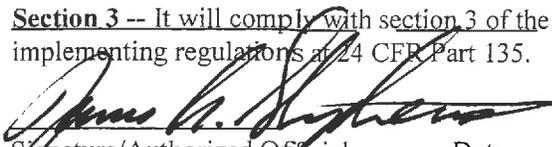
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____ , _____ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

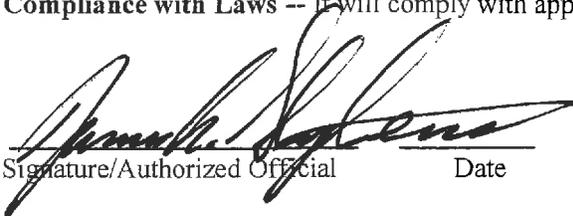
jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official Date

President, Jefferson County Commission
Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature/Authorized Official Date

President, Jefferson County Commission
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;


Signature/Authorized Official Date

President, Jefferson County Commission
Title

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.


Signature/Authorized Official

Date

President, Jefferson County Commission
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**RESOLUTION
AMENDING COMMUNITY DEVELOPMENT PROGRAMS**

WHEREAS, the Housing and Community Development Act of 1974, as amended, provides that an Urban County may make application to the U.S. Department of Housing and Urban Development for Community Development Block Grants; and

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) has accepted Jefferson County and municipal consortium members located therein, as a fully qualified Urban County for Community Development Block Grants, Emergency Shelter Grants, Emergency Solutions Grants, and the HOME Program; and

WHEREAS, Jefferson County desires to amend its Community Development Statement of Objectives and Projected Use of Funds for the Program Years 2011 through 2015 of its Five-Year Consolidated Plans and One-Year Action Plans to allow for those changes described in the attached pages, those pages being a part of this resolution; and

WHEREAS, Jefferson County has held a public hearing in accordance with HUD regulations and its Citizen Participation Plan and has actively solicited the participation of municipal authorities and citizens throughout the County, and that said amendment has been prepared in a manner that meets or exceeds all known federal, state, and local requirements and regulations;

NOW, THEREFORE, BE IT RESOLVED by the County Commission of Jefferson County, Alabama, that:

1. The Jefferson County Community Development Programs One-Year Action Plans for the years 2011 through 2015, is hereby amended as said above; and
2. The President of the County Commission is authorized and hereby directed to execute, sign all applications forms and certifications and submit to the U.S. Department of Housing and Urban Development (HUD) the attached Amended Statements of Community Development Objectives and Projected Use of Funds and the Amended Consolidated Action Plan for Community Development programs.

Adopted:

**APPROVED BY THE
JEFFERSON COUNTY COMMISSION**
DATE: 4-7-16
MINUTE BOOK: 169
PAGE(S): 450-451



THE BIRMINGHAM TIMES

115 3rd Avenue West • Birmingham, AL 35204
(205) 251-5158

AFFIDAVIT OF PUBLICATION

Samuel P. Martin, President/Publisher

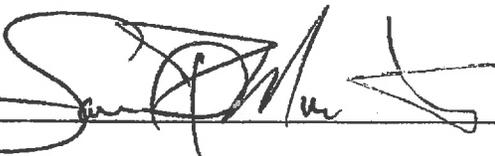
Birmingham Times Media Group

Agents for the State of Alabama, County of Jefferson

On this 18th day of February, 2016

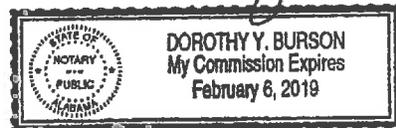
Samuel P. Martin appeared before me, Dorothy Y. Burson, Notary Public, in and for the County and State, who being duly sworn according to law, declares that he is the President/Publisher of *Birmingham Times Media Group* Publication, in the City of Birmingham, County of Jefferson, State of Alabama, that the advertisement, a true copy of which, is herewith attached, appeared in *The Birmingham Times* on the following dates:

1. 2/18/16
- 2.
- 3.
- 4.

Signed: 

Subscribed and sworn to me, Dorothy Y. Burson, on this 18th day of February, 2016.

My commission expires:




Notary Public

PUBLIC NOTICE

Jefferson County, Alabama
Office of Community & Economic
Development
Amended Final Statement of
Community Development Objectives
and Projected Use of Funds

Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Consolidated Plan Annual Action Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that may include the Community Development Block Grant Program (CDBG), The Emergency Shelter Grant Program (ESG), Community Development Block Grant – Disaster Recovery (CDBG-DR), The Emergency Solutions Grant (ESG) and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for the 2010 Five-Year Consolidated Plan and the 2015 Five-Year Consolidated Plan and these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement will be developed after receiving citizen comments and views at a public hearing to be held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama.

Special accommodations are available, upon request, for those with disabilities and for those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, should call 325-5761 in advance for assistance.

AVAILABILITY OF THE PROPOSED AMENDMENTS

Copies of amendments and summaries may be reviewed at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL 35203, by calling 325-5761, or online at <http://jeffconline.jccal.org/comdev/main/non-housing.html>. Arrangements can also be made to meet the Special Needs Requirements of those with disabilities. Those in this category should contact the Office of Community Development at the above address and telephone number. Copies may also be viewed at the following Public Libraries:

Adamsville Public Library
Fairfield Public Library
1984 Forestdale Blvd.
4615 Gary Avenue
Adamsville, AL 35214
Fairfield, AL 35064

Fultondale Public Library
Homewood Public Library
1015 Walker Chapel Road
1721 Oxmoor Road
Fultondale, AL 35071
Homewood, AL 35209

Irondale Public Library
Leeds Public Library
105 20th Street, South
802 Parkway Dr., SE
Irondale, AL 35210
Leeds, AL 35094

Midfield Public Library
Trussville Public Library
400 Breland Drive
201 Parkway
Midfield, AL 35228
Trussville, AL 35173

Tarrant Public Library
Warrior Public Library
1143 Ford Avenue
10 First Street
Tarrant, AL 35217
Warrior, AL 35180

Hueytown Public Library
1372 Hueytown Road
Hueytown, AL 35023

Comments must be submitted prior to 5:00 p.m. on March 21, 2016. Written comments must be submitted to the following.

Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Amendment Comments

a) The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families.

The purpose of these Amendments is to delete several activities and to reprogram unexpended funds to other activities in these program years.

James A. "Jimmie" Stephens,
President
Jefferson County Commission
BT 02/18/2016

Birmingham News

LEGAL AFFIDAVIT

INV#: 0007570405

Remit Payment to:

Alabama Media Group

Dept 77571

P.O. Box 77000

Detroit, MI 48277-0571

JEFFERSON COUNTY COMMUNITY ECONOMIC
DEVELOPMENT
716 RICHARD ARRINGTON JR BLVD STE A430
BIRMINGHAM, AL 35203

COMMUNITY DEVELOPMENT

1881 '16 FEB 22 P2:55

Name: JEFFERSON COUNTY COMMUNITY ECONOMIC DEVELOPMENT

Account Number: 2002021

INV#: 0007570405

Sales Rep: Nancy Bridgman

Customer Service: 1-877-317-5175

invoicesupport@acsmi.com

Date	Position	Description	P.O. Number	Ad Size	Total Cost
02/19/2016	Legals AL	PUBLIC NOTICE Jefferson County, Alabama Office of Community & Economic Development	2000077116	1 x 123 L	338.25

Stephanie Hardin being sworn, says that she is bookkeeper of Birmingham News which publishes a newspaper in the City of Birmingham and County of Jefferson, State of Alabama: and attached notice appeared in the issue of

Birmingham News 02/19/2016

Stephanie Hardin
Sworn to and subscribed before me this 19th day of February 2016

Lynne M. Eubanks
NOTARY PUBLIC

FOR QUESTIONS CONCERNING THIS AFFIDAVIT,
PLEASE CALL STEPHANIE HARDIN AT (251) 219-5405.
YOU CAN PLACE A LEGAL NOTICE BY EMAIL OR FAX:
LEGALS@AL.COM OR FAX# (205) 320-3550



Jefferson County, Alabama
Office of Community &
Economic Development
Amended Final Statement of Community
Development Objectives and
Projected Use of Funds
Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Consolidated Plan Annual Action Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that may include the Community Development Block Grant Program (CDBG), The Emergency Shelter Grant Program (ESG), Community Development Block Grant - Disaster Recovery (CDBG-DR), The Emergency Solutions Grant (ESG) and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for the 2010 Five-Year Consolidated Plan and the 2015 Five-Year Consolidated Plan and these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement will be developed after receiving citizen comments and views at a public hearing to be held on March 8,

2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-420, Birmingham, Alabama. Special accommodations are available, upon request, for those with disabilities and for those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, should call 325-5761 in advance for assistance.

AVAILABILITY OF THE PROPOSED AMENDMENTS
Copies of amendments and summaries may be reviewed at the Jefferson County Office of Community & Economic Development, 716 Richard Arrington Jr. Blvd. North - Suite A430, Birmingham, AL 35203, by calling 325-5761, or online at <http://jeffconline.jcc.al.org/comdev/main/non-housing.html>. Arrangements can also be made to meet the Special Needs Requirements of those with disabilities. Those in this category should contact the Office of Community Development at the above address and telephone number. Copies may also be viewed at the following Public Libraries:

Adamsville Public Library
1984 Forestdale Blvd.
Adamsville, AL 35214

Fairfield Public Library
4615 Gary Avenue
Fairfield, AL 35064

Fultondale Public Library
1015 Walker Chapel Road
Fultondale, AL 35071

Homewood Public Library
1721 Oxmoor Road
Homewood, AL 35209

Hueytown Public Library
1372 Hueytown Road
Hueytown, AL 35023

Irondale Public Library
105 20th Street, South
Irondale, AL 35210

Leeds Public Library
802 Parkway Dr., SE
Leeds, AL 35094

Midfield Public Library
400 Breland Drive
Midfield, AL 35228

Tarrant Public Library
1143 Ford Avenue
Tarrant, AL 35217

Trussville Public Library
201 Parkway
Trussville, AL 35173

Warrior Public Library
10 First Street
Warrior, AL 35180

Comments must be submitted prior to 5:00 p.m. on March 21, 2016. Written comments must be submitted to the following:
Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430

Birmingham, AL 35205
Attn: Amendment Comments
a) The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families. The purpose of these Amendments is to delete several activities and to reprogram unexpended funds to other activities in these program years.

James A. "Jimmie" Stephens, President
Jefferson County Commission

Bham News: February 19, 2016

JEFFERSON COUNTY
OFFICE OF COMMUNITY DEVELOPMENT

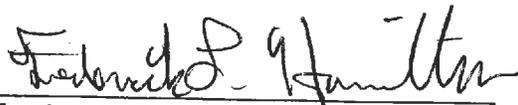
AMENDED ONE-YEAR ACTION PLAN FOR Program Years 2011-2015

CERTIFICATION

The Jefferson County Office of Community Development advertised the Final Amended One-Year Action Plan for Program Years 2011-2015 in the Birmingham News on February 19, 2016 and the Birmingham Times on February 20, 2016 advertisements are enclosed.

The Jefferson County Office of Community Development scheduled a public hearing at the office (716 Richard Arrington Jr. Blvd North – Suite A-420) on March 8, 2016 at 2:00 p.m. We did not have any citizens to attend the public hearing. Also, copies of the executive summaries were available in our office upon request and at 11 different public libraries located throughout Jefferson County.

The Jefferson County Office of Community & Economic Development did not receive any comments regarding the amendment.



Frederick L. Hamilton, Director

March 11, 2016
DATE

BASIC GRANT 2015 - 2016

BASIC GRANT 2015 - 2016	Original	Amend #1	Change
Program Income	1,724,446.00	1,724,446.00	0.00
RLF Income	0.00	0.00	0.00
	0.00	0.00	0.00
	1,724,446.00	1,724,446.00	0.00

Public Facilities	243,824.00	243,824.00	0.00
Neighborhood Facilities & Infrastructure	600,733.00	600,733.00	0.00
Public Services	35,000.00	35,000.00	0.00
Housing	500,000.00	500,000.00	0.00
Economic Development	0.00	0.00	0.00
Planning & Administration	344,889.00	344,889.00	0.00
	1,724,446.00	1,724,446.00	0.00

	<u>ORIGINAL</u>	<u>Amend #1</u>	<u>Change</u>
<u>Public Facilities</u>			
Irondale Sr. Center Expansion	74,913.00	74,913.00	0.00
Martintown Sr. Center II	168,911.00	168,911.00	0.00
	243,824.00	243,824.00	0.00

<u>Infrastructure Improvements</u>			
Jett Road Water	168,911.00	0.00	(168,911.00)
Fairfield Sidewalks III	168,911.00	168,911.00	0.00
Leeds Demolition Project	94,000.00	94,000.00	0.00
Rosedale Sidewalk IV	168,911.00	168,911.00	0.00
Tarrant Water	0.00	168,911.00	168,911.00
	600,733.00	600,733.00	0.00

<u>Public Services</u>			
The People Development Center	10,000.00	10,000.00	0.00
Fair Housing Counseling	25,000.00	25,000.00	0.00
	35,000.00	35,000.00	0.00

<u>Housing</u>			
Program Delivery	100,000.00	100,000.00	0.00
Emergency Housing Grant	400,000.00	400,000.00	0.00
	500,000.00	500,000.00	0.00

<u>Economic Development</u>			
Ec. Dev. Business Activity	0.00	0.00	0.00
	0.00	0.00	0.00

<u>Planning & Program Admin</u>			
General Admin.	344,889.00	344,889.00	0.00
	344,889.00	344,889.00	0.00

Contingency	0.00	0.00	0.00
	0.00	0.00	0.00
	1,724,446.00	1,724,446.00	0.00

FY 2015 Detailed Budget Table

Allocation		\$155,686.00		FY 2015	
Grant Amount		\$155,686.00		Emergency Shelter Grants/Emergency Solutions Grants	
Total Administration		\$11,676.45		Program Allocations	
				Amendment #1	Original 2015
				Activity Amount	Total Activity Amount
Emergency Solutions Grants Program	Emergency Shelter**			\$88,411.00	\$93,411.00
	<i>Renovation**</i>			\$0.00	\$0.00
	<i>Operation**</i>			\$50,000.00	\$50,000.00
	<i>Essential Service**</i>			\$38,411.00	\$38,411.00
	<i>URA Assistance**</i>			\$0.00	\$0.00
	Street Outreach - Essential Services**			\$0.00	\$5,000.00
	HMIS			\$15,000.60	\$15,000.60
	Rapid Re-housing			\$10,000.00	\$0.00
	<i>Housing Relocation and Stabilization Services</i>			\$6,000.00	\$0.00
	<i>Tenant-Based Rental Assistance</i>			\$4,000.00	\$0.00
	<i>Project-Based Rental Assistance</i>			\$0.00	\$0.00
	Homelessness Prevention			\$30,597.95	\$35,597.95
	<i>Housing Relocation and Stabilization Services</i>			\$17,597.95	\$20,597.95
	<i>Tenant-Based Rental Assistance</i>			\$13,000.00	\$15,000.00
	<i>Project-Based Rental Assistance</i>			\$0.00	\$0.00
	Administration			\$11,676.45	\$11,676.45
	Emergency Solutions Grants Subtotal				
					Total Grant Amount:

**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities (see Section III.B. of this Notice).

Executive Summary
Jefferson County, Alabama
Office of Community & Economic Development
Amended Final Statement of Community Development Objectives and Projected Use of
Funds

Program Years 2011 through 2015

Jefferson County, Alabama, and a consortium of municipalities located within the County (excluding Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo, and Helena), received from the U.S. Department of Housing and Urban Development annual grants for the 2011 through 2015 Program Years from the Annual Action Plans of the Five-Year Consolidated Plans. These are the 37th through the 41st years of the Consolidated Plan Annual Action Plans that include the Community Development Block Grant Program (CDBG), The Emergency Shelter/Emergency Solutions Grant Program (ESG), and the HOME Program (HOME).

Pursuant to the requirements of the Housing and Community Development Act of 1974, as amended, Jefferson County, Alabama is hereby publishing the Final Amended Statement of Objectives and Projected Use of Funds for these years of the Program (October 1, 2011 to September 30, 2016). This Final Amended Statement was developed after receiving citizen comments and views at a public hearing held on March 8, 2016 at 2:00 p.m. at the Jefferson County Office of Community & Economic Development 716 Richard Arrington Jr. Blvd. North, Suite A-430, Birmingham, Alabama.

Special accommodations were made available, upon request, for those with disabilities and those with limited English proficiency. Those requiring special accommodations or questions regarding the Plan, were advised to call 325-5761 in advance for assistance.

AVAILABILITY OF THE PROPOSED AMENDMENTS

This is a summary of the amendments for Community Development Programs. Copies of this summary were also available for review at the Jefferson County Office of Community Development, 716 Richard Arrington Jr. Blvd. North – Suite A430, Birmingham, AL 35203, or by calling 325-5761. Arrangements were made available to meet the Special Needs Requirements of those with disabilities as well as those with limited English proficiency. Those in this category were also advised to contact the Office of Community Development at the above address and telephone number. Copies were available for review at the following Public Libraries:

Adamsville Public Library
4825 Main Street
Adamsville, AL 35005

Fairfield Public Library
4615 Gary Avenue
Fairfield, AL 35064

Fultondale Public Library
500 Byrd Lane
Fultondale, AL 35068

Homewood Public Library
1721 Oxmoor Road
Homewood, AL 35209

Irondale Public Library
105 20th Street, South
Irondale, AL 35210

Leeds Public Library
8104 Parkway Drive
Leeds, AL 35094

Midfield Public Library
400 Breland Drive
Midfield, AL 35228

Trussville Public Library
201 Parkway Drive
Trussville, AL 35173

Tarrant Public Library
1143 Ford Avenue
Tarrant, AL 35217

Warrior Public Library
10 First Street East
Warrior, AL 35180

Hueytown Public Library
1372 Hueytown Road
Hueytown, AL 35023

Comments could have been submitted prior to 5:00 p.m. on March 21, 2016. Written comments should have been submitted to the following:

Jefferson County Office of Community & Economic Development
716 Richard Arrington Jr Blvd N, Ste. A-430
Birmingham, AL 35203
Attn: Amendment Comments

The Final Amended Use of Funds stated herein has been developed so as to give maximum feasible priority to activities which will principally benefit low and moderate income persons and families. In addition, the projected use of funds is consistent with Jefferson County's goal of:

- a) Stabilizing low and moderate income neighborhoods located in consortium cities and unincorporated Jefferson County;
- b) Providing safe and affordable housing;
- c) Providing jobs for low and moderate income persons and families; and
- d) Improving the economic life in Jefferson County by encouraging business development.

The purpose of this Amendment is to delete several activities and to reprogram unexpended funds to other activities in these program years. The following are the activities to be changed:

PROGRAM YEAR 2011 (37TH)

CDBG

Reduce Funding in the Following Activity:

Fairfield Library Improvements from \$200,000.00 to \$166,619.34

Add the Following Activity:

Tarrant Water Improvements, \$33,380.66

PROGRAM YEAR 2012 (38th)

CDBG

Reduce Funding in the Following Activities:

Fairfield Willie Mays Park Improvements from \$160,000.00 to \$155,000.00

Clay Senior Center Improvements from \$160,000.00 to \$130,500.00

Rosedale Sidewalks Phase II from \$160,000.00 to \$110,000.00

Add the Following Activity:

West Highland Waterline Improvements, \$84,500.00

PROGRAM YEAR 2013 (39th)

CDBG

Add the Following Activity:

Economic Development Business Activity from RLF Income, \$82,718.56

PROGRAM YEAR 2014 (40th)

CDBG

Reduce Funding in the Following Activity:

Martintown Senior Center from \$177,000.00 to \$102,000.00

Increase Funding in the Following Activities:

Midfield Park Improvements from \$177,000.00 to \$252,000.00

Emergency Housing Grant from \$400,000.00 to \$402,650.47

HOME

Reduce Funding in the Following Activity:

CHDO Activities from \$319,634.00 to \$94,939.00

Delete the Following Activities:

CHDO Admin, \$25,000.00

Special Needs Rental Housing, \$450,000.00

Add the Following Activity:

Homebuyer Assistance Program, \$699,695.00

ESG

Reduce Funding in the Following Activities:

Rapid Re-Housing from \$27,978.00 to \$5,400.00

Add the Following Activity:

Homelessness Prevention, \$22,578.00

PROGRAM YEAR 2015 (41st)

CDBG

Delete the Following Activity:

Jett Road Water, \$168,911.00

Add the Following Activity:

Tarrant Water, \$168,911.00

ESG

Delete the Following Activity:

Street Outreach, \$5,000.00

Add the Following Activities:

Rapid Re-Housing - Tenant Based Rental Assistance, \$4,000.00

Rapid Re-Housing - Housing Relocation & Stabilization, \$6,000.00

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Jefferson County expects to receive \$1,724,446.00 in CDBG funds, \$0.00 in CDBG program income; \$579,144.00 in HOME funds, \$0.00 in County match (In-Kind), and \$250,000.00 in HOME program income; \$155,686.00 in federal ESG funds, and \$155,686.00 in ESG Match funds, making a total of \$2,864,962.00 in funds available for Program Year 2015-16.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	1,724,446	0	0	1,724,446	Jefferson County's projected use of CDBG funds has been developed so as to give maximum feasible priority to activities which will carry out one of the national objectives of benefit to low- and moderate-income families or aid in the prevention or elimination of slums or blight. The projected use of funds may also include activities that the recipient certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	579,144	250,000	0	829,144	Jefferson County's projected use of HOME funds is consistent with the HOME Program goal of providing decent affordable housing to lower-income households.
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	155,686	0	0	155,686	Jefferson County's projected use of ESG funds is consistent with the rehabilitation or conversion of buildings for use as emergency shelter for the homeless, for the payment of certain expenses related to operating emergency shelters, for essential services related to emergency shelters and street outreach for the homeless, and for homelessness prevention and rapid re-housing assistance.

Table 1 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Federal HOME funds will be leveraged primarily through the County's affordable housing programs and economic development activity programs to maximize the impact of federal resources. In the past for example, Jefferson County has leverage millions of dollars in Federal Low Income Housing Tax Credits (LIHTC), private grants from non-profits, loans from private financial institution, and various affordable housing loan and grant products from the Federal

Home Loan Bank. Jefferson County will continue to seek these and other sources of private, state and local funds during the 2015-2019 Consolidated Plan period to stretch our limited federal dollars and make the greatest impact possible.

Jefferson County has exceeded the matching requirement for the HOME Program and does not anticipate a matching funds shortfall during the 2015-2019 Consolidated Plan period. Additional match will, however, continue to be sought and logged in the Match Report as received.

Emergency Solutions Grant (ESG) funds will be matched by Jefferson County, Alabama or sub-recipients through matching contributions obtained from any source, including Federal source other than the ESG program, as well as state, local, and private sources. All sources used as match will meet the requirements as set forth in 24 CFR Part 576.201.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

From time to time Jefferson County may use publically owned land or property to address the needs identified in the plan such as the development of public facilities (parks, senior centers, neighborhood facilities) and infrastructure improvements (street and drainage, water/sewer improvements).

Annual Goals and Objectives

AP-20 Annual Goals and Objectives Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Facilities	2015	2020	Non-Housing Community Development	Consortium-Wide and Unincorporated Areas	Public Facilities	CDBG: \$750,555	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 20 Persons Assisted
2	Clearance and Remediation Activities	2015	2020	Non-Housing Community Development	Consortium-Wide and Unincorporated Areas	Clearance and Remediation	CDBG: \$94,000	Buildings Demolished: 5 Buildings
3	Public Services	2015	2020	Non-Housing Community Development	Consortium-Wide and Unincorporated Areas	Public Services	CDBG: \$35,000	Public service activities other than Low/Moderate Income Housing Benefit: 10 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 10 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
7	Rehab of Homeowner Housing: Emergency Repairs	2015	2019	Affordable Housing	Consortium-Wide and Unincorporated Areas	Affordable Housing Supply and Quality	CDBG: \$400,000	Homeowner Housing Rehabilitated: 20 Household Housing Unit
8	Construction of Rental Units	2015	2019	Affordable Housing	Consortium-Wide and Unincorporated Areas	Affordable Housing Supply and Quality	HOME: \$630,406	Rental units constructed: 40 Household Housing Unit
9	CHDO Set-Aside	2015	2019	Affordable Housing	Consortium-Wide and Unincorporated Areas	Affordable Housing Supply and Quality	HOME: \$86,867	Homeowner Housing Added: 1 Household Housing Unit
11	CDBG General Administration	2015	2016	Administration	Consortium-Wide and Unincorporated Areas	CDBG General Admin	CDBG: \$344,889	Other: 12 Other
12	Housing Program Delivery	2015	2016	Housing Program Delivery	Consortium-Wide and Unincorporated Areas	Housing Program Delivery	CDBG: \$100,000	Other: 4 Other
13	HOME Administration	2015	2019	Affordable Housing	Consortium-Wide and Unincorporated Areas	Affordable Housing Supply and Quality HOME Administration	HOME: \$82,914	Rental units constructed: 40 Household Housing Unit Homeowner Housing Added: 1 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
14	CHDO Administration	2015	2019	Affordable Housing	Consortium-Wide and Unincorporated Areas	Affordable Housing Supply and Quality CHDO Administration	HOME: \$28,957	Homeowner Housing Added: 1 Household Housing Unit
15	HESG15	2015	2017	Homeless	Consortium-Wide and Unincorporated Areas	HESG15	ESG: \$155,686	Tenant-based rental assistance / Rapid Rehousing: 5 Households Assisted Homeless Person Overnight Shelter: 20 Persons Assisted Homelessness Prevention: 5 Persons Assisted

Table 2 – Goals Summary

Goal Descriptions

1	Goal Name	Public Facilities
	Goal Description	The acquisition, construction, reconstruction, rehabilitation or installation of public facilities and improvements.
2	Goal Name	Clearance and Remediation Activities
	Goal Description	Clearance, demolition, and removal of buildings and improvements, including movement of structures to other sites and remediation of known or suspected environmental contamination.

3	Goal Name	Public Services
	Goal Description	Provision of public services (including labor, supplies, and materials) including but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 24 CFR Part 570.207(b)(4)).
7	Goal Name	Rehab of Homeowner Housing: Emergency Repairs
	Goal Description	Emergency rehabilitation to owner-occupied housing.
8	Goal Name	Construction of Rental Units
	Goal Description	Special needs housing includes the construction of rental units for the elderly.
9	Goal Name	CHDO Set-Aside
	Goal Description	

11	Goal Name Goal Description	CDBG General Administration Reasonable costs of overall program management, coordination, monitoring, and evaluation. Such costs include, but are not necessarily limited to, necessary expenditures for the following: (1) Salaries, wages, and related costs of the recipient's staff, the staff of local public agencies, or other staff engaged in program administration. In charging costs to this category the recipient may either include the entire salary, wages, and related costs allocable to the program of each person whose primary responsibilities with regard to the program involve program administration assignments, or the pro rata share of the salary, wages, and related costs of each person whose job includes any program administration assignments. The recipient may use only one of these methods during the program year (or the grant period for grants under subpart F). Program administration includes the following types of assignments: (i) Providing local officials and citizens with information about the program; (ii) Preparing program budgets and schedules, and amendments thereto; (iii) Developing systems for assuring compliance with program requirements; (iv) Developing interagency agreements and agreements with sub-recipients and contractors to carry out program activities; (v) Monitoring program activities for progress and compliance with program requirements; (vi) Preparing reports and other documents related to the program for submission to HUD; (vii) Coordinating the resolution of audit and monitoring findings; (viii) Evaluating program results against stated objectives; and (ix) Managing or supervising persons whose primary responsibilities with regard to the program include such assignments as those described in paragraph (a)(1)(i) through (viii) of this section. (2) Travel costs incurred for official business in carrying out the program; (3) Administrative services performed under third party contracts or agreements, including such services as general legal services, accounting services, and audit services; and (4) Other costs for goods and services required for administration of the program, including such goods and services as rental or purchase of equipment, insurance, utilities, office supplies, and rental and maintenance (but not purchase) of office space.
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12	Goal Name	Housing Program Delivery
	Goal Description	Eligible costs associated with carrying out CDBG Housing related activities.
13	Goal Name	HOME Administration
	Goal Description	
14	Goal Name	CHDO Administration
	Goal Description	
15	Goal Name	HESG15
	Goal Description	Activities associated with the Emergency Solutions Grant such as HMIS, Emergency Shelter, Administration, Homelessness Prevention and Rapid Re-Housing.

Projects

AP-35 Projects – 91.220(d)

Introduction

Jefferson County expects to receive \$1,724,446.00 in CDBG funds, \$0.00 in CDBG program income; \$579,144.00 in HOME funds, \$0.00 in County match and \$250,000 in HOME program income; and \$155,686.00 in federal ESG funds plus \$155,686 in ESG Match, making a total of \$2,864,496.00 in funds available for Program Year 2015-2016. Funds will be used CDBG General Administration, CDBG Housing Program Delivery, ESG Administration, HOME Administration, CDBG: Public Facilities, Public Services, and Emergency Housing Rehabilitation, ESG: Homeless Prevention, Rapid Re-Housing, Emergency Shelter, and HMIS.

Projects

#	Project Name
1	CD15-03-Public Facilities
2	CD15-Clearance/Demolition
3	CD15-Public Services
4	CD15-Emergency Housing Rehabilitation
5	CD15-General Administration
6	CD15-Housing Program Delivery
7	HESG15-ESG
8	HOME15-Administration
9	HOME15-CHDO Administration
10	HOME15-CHDO Activities
11	HOME15-Special Needs Housing

Table 3 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

All HOME and CDBG housing rehabilitation programs and public service programs are county-wide. All non-housing, non-homeless projects are approved based on the low/mod percentage for the service area of the project either based on the U.S. Census data or the results of door to door surveys. The biggest obstacle to meeting underserved needs is the lack of funding sources to meet those needs as well as meeting the eligibility guidelines for available funding sources.

AP-38 Project Summary
Project Summary Information

1	Project Name	CD15-03-Public Facilities
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Public Facilities
	Needs Addressed	Public Facilities
	Funding	CDBG: \$750,557
	Description	Acquisition, construction, reconstruction, rehabilitation or installation of public facilities and improvements; the removal of architectural barriers that restrict the mobility and accessibility of elderly or severely disabled persons to public facilities and improvements.
	Target Date	12/31/2016
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 10 low/mod income families will benefit from the proposed activities.
	Location Description	Activities will be carried out in the municipalities of Fairfield, Irondale, Tarrant and the Rosedale community located in the city of Homewood, Alabama, as well as the unincorporated communities of Martintown.
Planned Activities	Fairfield Sidewalks, Martintown Senior Center, Tarrant Water Water, Irondale Senior Center, Rosedale Sidewalks.	
2	Project Name	CD15-Clearance/Demolition
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Clearance and Remediation Activities
	Needs Addressed	Clearance and Remediation
	Funding	CDBG: \$94,000
	Description	Clearance, demolition, and removal of buildings and improvements, including movement of structures to other sites and remediation of know or suspected environmental contamination.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 10 housing will be demolished.
	Location Description	Leeds, Alabama

	Planned Activities	Leeds Demolition
3	Project Name	CD15-Public Services
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$35,000
	Description	Funding for public services (including labor, supplies, and materials) including but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 24 CFR Part 570.207(b)(4)).
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	100% of the beneficiaries from public services must meet the low/mod income guidelines.
	Location Description	County-Wide
	Planned Activities	Fair Housing Counseling The People Development Center
4	Project Name	CD15-Emergency Housing Rehabilitation
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Rehab of Homeowner Housing: Emergency Repairs
	Needs Addressed	Affordable Housing Supply and Quality
	Funding	CDBG: \$400,000
	Description	Funds will be used to provide emergency rehabilitation to owner-occupied housing units.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	20 owner-occupied housing units are expected to receive emergency housing rehabilitation.
	Location Description	County-Wide
	Planned Activities	Emergency Housing Rehabilitation

5	Project Name	CD15-General Administration
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	CDBG General Administration
	Needs Addressed	CDBG General Admin
	Funding	CDBG: \$344,889
	Description	Overall program administration, including (but not limited to) salaries, wages, and related costs of staff or others engaged in program management, monitoring, and evaluation.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	716 Richard Arrington Jr Blvd North, Suite A-430, Birmingham, AL 35203
Planned Activities	General Administration	
6	Project Name	CD15-Housing Program Delivery
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Housing Program Delivery
	Needs Addressed	Housing Program Delivery
	Funding	CDBG: \$100,000
	Description	Housing program administration.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	716 Richard Arrington Jr Blvd North, Suite A-430, Birmingham, AL 35203
Planned Activities	Housing Program Delivery	
7	Project Name	HESG15-ESG
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	HESG15

	Needs Addressed	HESG15
	Funding	ESG: \$155,686
	Description	HESG program administration, emergency shelter, rapid re-housing (housing relocation and stabilization services and tenant-based rental assistance), HMIS, and homeless prevention (housing relocation and stabilization services and tenant-based rental assistance).
	Target Date	9/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	20 persons who are homeless (may be individuals or families) and 10 persons who are at risk of homelessness (may be individuals or families).
	Location Description	County-Wide through sub-recipients and Jefferson County (716 Richard Arrington Jr Blvd N, Suite A-430, Birmingham, AL 35203).
	Planned Activities	Administration, Emergency Shelter, Street Outreach, HMIS, and Homeless Prevention (housing relocation & stabilization and tenant-based rental assistance).
8	Project Name	HOME15-Administration
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Construction of Rental Units CHDO Set-Aside
	Needs Addressed	Affordable Housing Supply and Quality
	Funding	HOME: \$82,914
	Description	Administrative costs for HOME Program activities.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	716 Richard Arrington Jr Blvd North, Suite A-430, Birmingham, AL 35203.
	Planned Activities	HOME Administration
9	Project Name	HOME15-CHDO Administration
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	CHDO Set-Aside

	Needs Addressed	Affordable Housing Supply and Quality
	Funding	HOME: \$28,957
	Description	Administrative costs associated with carrying out HOME CHDO Program activities.
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	716 Richard Arrington Jr Blvd North, Birmingham, AL 35203
	Planned Activities	HOME CHDO Administration
10	Project Name	HOME15-CHDO Activities
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	CHDO Set-Aside
	Needs Addressed	Affordable Housing Supply and Quality
	Funding	HOME: \$86,867
	Description	HOME CHDO Activities
	Target Date	9/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
11	Project Name	HOME15-Special Needs Housing
	Target Area	Consortium-Wide and Unincorporated Areas
	Goals Supported	Construction of Rental Units
	Needs Addressed	Affordable Housing Supply and Quality
	Funding	HOME: \$630,406
	Description	HOME funded special needs housing activities.
	Target Date	9/30/2016

Estimate the number and type of families that will benefit from the proposed activities	40 Elderly Tenants
Location Description	Request for Proposals taken consortium-wide and in unincorporated areas.
Planned Activities	Special Needs Housing

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Funds for the 2015 One-Year Action Plan will be directed County-Wide as needed for low/mod-income beneficiaries eligible for CDBG Emergency Housing Rehabilitation, Public Service Programs and for the homeless or those at risk of homelessness as beneficiaries for ESG programs. Funds for other CDBG Non-Housing Projects such as Public Facilities and Clearance and Demolition will be directed to the following municipal areas of the Jefferson County Consortium: Fairfield, Leeds, Tarrant Irondale, and the Rosedale Community located within Homewood, Alabama. Additional geographic areas include the Martintown Community located in an unincorporated area of Jefferson County.

Geographic Distribution

Target Area	Percentage of Funds
County-Wide (CDBG)	31%
Irondale	4%
Martintown	10%
Fairfield	10%
Leeds	5%
Homewood	10%
Tarrant	10%

Table 4 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

All HOME and CDBG housing rehabilitation, homeless and public service programs are county-wide excluding the areas of Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo and Helena. Birmingham, Bessemer, and Hoover are excluded because they are entitlements. County Line, Sumiton, Argo and Helena are excluded because they are not participants of the Jefferson County Consortium. All non-housing projects are approved based upon the low/mod percentage for the service area of the project either based on the U.S. Census data or the results of door-to-door surveys.

Discussion

The Jefferson County Consortium consists of the following thirty-one municipalities: Adamsville, Brighton, Brookside, Cardiff, Center Point, Clay, Fairfield, Fultondale, Gardendale, Graysville, Homewood, Hueytown, Irondale, Kimberly, Leeds, Lipscomb, Maytown, Midfield, Morris, Mountain Brook, Mulga, North Johns, Pinson, Pleasant Grove, Sylvan Springs, Tarrant, Trafford, Trussville, Vestavia Hills, Warrior, and West Jefferson.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The information below relates to the affordable housing goals provided in Section AP-20. For the purpose of this section, the term "affordable housing" is defined in the HOME regulations at 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	1
Special-Needs	40
Total	41

Table 5 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	40
Rehab of Existing Units	1
Acquisition of Existing Units	0
Total	41

Table 6 - One Year Goals for Affordable Housing by Support Type

AP-60 Public Housing – 91.220(h)

Introduction

There are four housing authorities responsible for the administration and operations of public housing developments in Jefferson County. They include the Jefferson County Housing Authority (JCHA), Leeds Housing Authority, Tarrant Housing Authority and Fairfield Housing Authority. JCHA is the largest of the four.

Actions planned during the next year to address the needs to public housing

Jefferson County will encourage public housing residents to participate in employment and training opportunities provided by construction activities under Section 3 and other available programs.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Actions to encourage public housing residents to become more involved in management include representation on the housing authority board, a Community Action Committee, Senior Companions, computer centers and surveys. Public housing residents are encouraged to participate in homeownership through voluntary participation in a Family Self Sufficiency program to become self sufficient within five years. It is to be hoped that counseling and linkages to area agencies will enable earned income to increase and allow for the resident/tenant to qualify for an affordable mortgage. Housing counseling services include financial literacy, budgeting, credit repair, homeownership education, and fair housing.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Jefferson County has developed one-year goals and specific actions steps for reducing and ending homelessness through:

(i) Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs;

(ii) Addressing the emergency shelter and transitional housing needs of homeless persons; and

(iii) Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again; and

(iv) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are:

(A) Being discharged from publicly funded institutions and systems of care, such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions; or

(B) Receiving assistance from public and private agencies that address housing, health, social services, employment, education, or youth needs.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Jefferson County's original one-year goal and action for reducing and ending homelessness by reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs included providing \$5,000.00 funding for street outreach activities. However, no applications were received from homeless service providers to provide street outreach activities through Jefferson County's ESG program. Therefore the Street Outreach activity is changed to Rapid Re-Housing.

Addressing the emergency shelter and transitional housing needs of homeless persons

Jefferson County's one-year goal and action for reducing and ending homelessness by addressing the emergency shelter and transitional housing needs of homeless persons includes providing \$88,411.00 in funding for emergency shelter activities. Eligible activities include case management, child care, education services, employment assistance and job training, outpatient health services, legal services (with limitations), life skills training, mental health services (with limitations), substance abuse treatment services (with limitations), transportation (with limitations), services for special populations (homeless youth, victim services, services for people living with HIV/AIDS), renovation, and shelter operations.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Jefferson County's one-year goal and action for reducing and ending homelessness by helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to afford housing units, and preventing individuals and families who were recently homeless from becoming homeless again by extending the availability of unspent Program Year 2014 ESG Rapid Re-Housing funds through to August 30, 2016.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Jefferson County's one-year goal and action for reducing and ending homelessness by helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs includes providing \$35,597.95 in funding for homeless prevention activities. Eligible activities

may include housing relocation and stabilization services and short- and/or medium-term rental assistance necessary to prevent an individual or family from moving into an emergency shelter or another place described in paragraph (1) of the "homeless" definition in 24 CFR Part §576.2. This assistance, referred to as homelessness prevention, may be provided to individuals and families who meet the criteria under the "at risk of homelessness" definition, or who meet the criteria in paragraph (2), (3), or (4) of the "homeless" definition in §576.2 and have an annual income below 30 percent of median family income for the area, as determined by HUD. The costs of homelessness prevention are only eligible to the extent that the assistance is necessary to help the program participant regain stability in the program participant's current permanent housing or move into other permanent housing and achieve stability in that housing.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Jefferson County is engaged in a Comprehensive Planning process looking at the county on a watershed by watershed basis. This process has been temporarily slowed due to funding restraints, but it is anticipated that over the next 5 years plans for all the county watersheds will be adopted. Elements of the completed Plan include land use, sewer, water supply, mining issues, transit, and housing among others in the unincorporated areas of the county.

AP-85 Other Actions – 91.220(k)

Introduction:

Over the period of the 2015 One-Year Action Plan, Jefferson County plans to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce lead-based paint hazards, reduce the number of poverty-level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies.

Actions planned to address obstacles to meeting underserved needs

Addressing “Underserved Needs” is one of the most trying and difficult areas addressed in Jefferson County’s housing strategies, homeless strategies, and non-housing strategies. The main reason for this difficulty is a lack of available funding to meet those needs.

Actions planned to foster and maintain affordable housing

Jefferson County will continue to use its limited HUD Community Planning and Development (CPD) funds to support activities across the housing needs spectrum to foster and maintain affordable housing. Programs to address this issue under CDBG include owner-occupied rehabilitation, and housing counseling. The HOME Program may address new construction of single-family and rental housing, acquisition and/or rehabilitation of single-family and rental housing, and homebuyer assistance. The ESG Program may utilize rapid re-housing and homeless prevention programs to foster and maintain affordable housing for families and individuals who have become or are at risk of becoming homeless.

Actions planned to reduce lead-based paint hazards

Jefferson County has two Lead-Based Paint Inspectors and Lead-Based Paint Risk Assessors certified by the University of Alabama's Safe States Environmental Program on staff as Senior Housing Rehabilitation Specialists. Training and licensing are kept current and are registered with the Alabama Department of Public Health.

Under the HOME Program, the use of lead-based paint (LBP) is prohibited in all new construction. Any pre-1978 existing units to be rehabilitated and sold to homebuyers is tested for LBP prior to acquisition. If LBP is found, the unit is not acquired. Under the CDBG Program, all pre-1978 owner-occupied units are tested for LBP prior to rehabilitation. None of the County's housing programs include activities that are expected to disturb lead painted surfaces except volunteer programs which are under the allowable threshold and are exempt. The County requires all projects to be compliant with all Federal and State laws and regulations.

Actions planned to reduce the number of poverty-level families

1. Coordination of activities undertaken by Jefferson County which improve or alter the living situation of persons whose incomes are below the poverty level and/or contribute to the reduction in the number of persons living in poverty. Targeted areas for concentration include:

A. Economic Development - search for programs and funds to provide jobs and essential service initiatives to elevate and expand economic opportunities that will allow for suitable living environments.

B. Improvement of the educational system.

C. Support and/or coordinate County's goals, policies, and programs with services provided by private and public social service agencies which are willing to join in cooperative planning and joint programs.

D. Actions to expand the use of federal resources available for affordable housing.

E. Coordination of existing housing programs with housing counseling and educational opportunities for acquiring the knowledge and skills for responsible home ownership and tenancy.

F. Actions to nurture and strengthen existing community based housing development corporations and to encourage the development of additional non-profits.

G. Exploration of new initiatives for affordable housing

Actions planned to develop institutional structure

Jefferson County will implement its Consolidated Plan through its Office of Community and Economic Development which will serve as lead agency. Jefferson County works with a number of agencies both public and non-profit in delivering services to the residents of the County. Jefferson County's HOME Program further solicits outside sources of funds through both public and private sectors including Low Income Housing Tax Credits. The CDBG funded Housing Rehabilitation programs further work with volunteer and faith based entities where possible. The ESG program works through a variety of non-profit entities to support their Continuum of Care initiatives.

Actions planned to enhance coordination between public and private housing and social service agencies

There are four Public Housing Authorities within the Jefferson County Community Development Consortium. These are the Fairfield, Tarrant, Leeds and Jefferson County Public Housing Authorities (PHA's). The Jefferson County Commission appoints board members to the Jefferson County Public Housing Authority only. All other Authorities operate independently of the Jefferson County Commission. Jefferson County works closely with local PHA's in supporting their efforts to improve the housing stock and quality of life of their beneficiaries. When requested, Jefferson County reviews proposed capital improvements as well as proposed development, demolition or disposition of public housing development. Jefferson County does not fund any Authority and has no involvement in procurement and hiring. This is done through the local Authority under plans approved by HUD.

Public Institutions and Private Organizations: Historically public institutions and private organizations have worked well together to provide housing and community development programs and services to the people of Jefferson County. Various resources are coordinated to help the County's families and individuals in need of housing assistance, elderly, low and very low income, and special needs populations.

Jefferson County, Alabama has operated the Community Development Block Grant (CDBG) program for many years as a HUD entitlement community. The County, in working with a variety of community agencies, has a well-established structure for implementing its programs. Jefferson County has in the past and presently continues to maintain extensive contracts and coordination with a broad variety of community organizations.

To increase the availability of affordable housing units to residents in Jefferson County, the County partners with CHDOs, non-profit organizations, community development corporations, and private developers as well as with private lenders, the Alabama Housing Finance Authority, the Jefferson County Department of Health and the Alabama Department of Human Resources.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Jefferson County expects to receive \$1,724,446.00 in CDBG funds. Jefferson County does not expect to receive any program income during the period of this One-Year Action Plan PY 2015 (October 1, 2015-September 30, 2016).

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

- | | |
|--|----------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 0 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan | 0 |
| 5. The amount of income from float-funded activities | 0 |
| Total Program Income: | 0 |

Other CDBG Requirements

- | | |
|---|--------|
| 1. The amount of urgent need activities | 0 |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 70.00% |

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Jefferson County does not plan to provide other forms of investment beyond those identified in Section 92.205.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Jefferson County will use the Recapture option should the debtor decide to sell their home within the Affordability Period. Under the Recapture option, the County may require the debtor to repay all of the HOME Program subsidy to the County or a reduced amount on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period. The debtor is then able to sell his/her home to any buyer at whatever price the market will bear. The County will require full repayment of outstanding HOME Program funds as described above at the time of resale or, if the net proceeds of a sale are less than the full amount of the HOME Program subsidy, recapture of the net proceeds. The net proceeds of a sale are the sales price minus any non-HOME Program loan repayments and closing costs. These funds will be reinvested into HOME Program funded activities. In those cases where the real estate does not appreciate sufficiently to allow a full recapture, the County will reduce the repayment of the HOME Program subsidy to allow the original purchaser to resell the unit without incurring a loss. Jefferson County may, at its option, permit the subsequent homebuyer to assume the HOME assistance (subject to the HOME requirements for the remainder of the period of affordability) if the subsequent homebuyer is low-income, and no additional HOME assistance is provided.

The Period of Affordability will be suspended upon foreclosure by a superior lender or other transfer in lieu of foreclosure. However, if at any time following foreclosure or other transfer in lieu of foreclosure by such lender, but still during the Period of Affordability, the owner of record prior to the foreclosure or transfer in lieu of foreclosure, or any newly formed entity that includes the former owner or those with whom the former owner has or had family or business ties, obtains and ownership interest in the property, the Period of Affordability shall be revived according to its original terms. In the event of a foreclosure of the property by the County or a superior lender, then the recapture shall be limited to the net proceeds received by the County as described above. Jefferson County will also retain a first right of refusal on the property.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Jefferson County will use mortgage liens and restrictive covenants running with the land filled of record with the Jefferson County Judge of Probate to ensure the affordability of units acquired with HOME funds remain affordable for the applicable period of affordability. The applicable period of affordability shall be as follows:

- Under \$15,000 - 5 years
- \$15,000 - \$40,000 - 10 years
- Over \$40,000 - 15 years

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Jefferson County has no plans to use funds for this purpose.

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

All applicants must be evaluated for program eligibility and needs.

The standard for calculating annual income under 24CFR 5.609 must be used when determining the annual income of an individual or family.

All clients must receive housing stability case management.

Jefferson County's policy for termination of assistance must be explained to and distributed to the client.

All shelters and housing must meet HUD's shelter and housing standards.

All agencies receiving Jefferson County ESG funds must adhere to the Conflict of Interest and Privacy Policies as set forth by Jefferson County.

To the maximum extent possible, all sub-recipients must involve a homeless individuals or families in constructing, renovating, maintaining, and operating facilities assisted, in providing services, and in providing services under

the ESG Program. This may include employment or volunteer services.

Faith-based organizations are eligible, on the same basis as any other organization, to receive ESG funds.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

While One Roof (Lead Agency for the Local Continuum of Care) planned to have a complete operating Coordinated Assessment by mid-January 2015, there were multiple barriers to implementation including the move of the One Roof office. Policies are in the final stages of development and One Roof is expected to hire the first dedicated staff member soon.

All calls related to homelessness or homeless prevention will be referred to the One Roof office number and staff will go through a brief assessment with callers to determine the level of homelessness or risk of homelessness. If the client appears to be eligible for any service available in the CoC, Coordinated Assessment staff will go through a more extensive assessment including some pieces of Vulnerability Index. Clients will then be referred to the appropriate resource according to resource availability and level of vulnerability.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Jefferson County holds a competitive application period for each program year's allocation of ESG funds. Applications are made available to private nonprofit organizations including faith-based organizations at the same period. Applications are rated and ranked from highest points to lowest points with the categories of ESG budgeted funds being allocated to the highest ranked organizations based on the funds available in that category of assistance.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

All of Jefferson County's sub-recipients have had volunteers and/or staff members who meet the homeless participation requirement in 24 CFR 576.405 (a). If Jefferson County is unable to meet the requirement, a plan is in place where the sub-recipient cannot receive ESG funding until the homeless participation requirement is met and verified.

5. Describe performance standards for evaluating ESG.

Jefferson County will review the performance of each sub-recipient in carrying out its responsibilities no less than annually. In conducting performance reviews, the County will rely primarily on information obtained from the records and reports from the sub-recipient and, when appropriate, its partners, as well as information from onsite monitoring, audit reports, and information from HMIS. Where applicable, the County may also consider relevant information pertaining to the sub-recipient's performance gained from other sources, including citizen comments, complaint determinations, and litigation.